(19) World Intellectual Property Organization International Bureau





(43) International Publication Date 27 December 2001 (27.12.2001)

PCT

(10) International Publication Number WO 01/97718 A1

(51) International Patent Classification⁷: A61L 27/28, A61F 2/78, A61C 8/00

A61F 2/28,

(21) International Application Number: PCT/GB01/02771

(22) International Filing Date: 22 June 2001 (22.06.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:

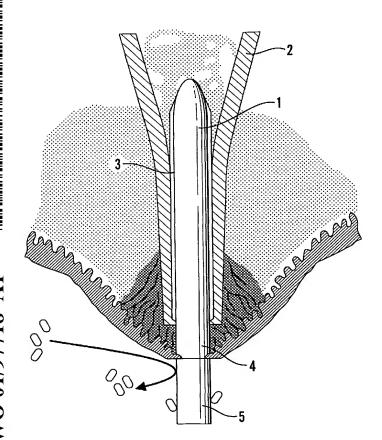
0015479.9 23 June 2000 (23.06.2000) GB

(71) Applicant (for all designated States except US): UNI-VERSITY COLLEGE LONDON [GB/GB]; Gower Street, London WC1 6BT (GB). (72) Inventors; and

- (75) Inventors/Applicants (for US only): BLUNN, Gordon [GB/GB]; 12 East Hartley, Hartley St. George, Sandy, Bedfordshire SG19 3JA (GB). COBB, Justin [GB/GB]; 16 Provost Road, London NW3 4ST (GB). GOODSHIP, Allen [GB/GB]; Foxglove, Coleman Green Lane, Wheathamstead, Hertfordshire AL4 8ET (GB). UNWIN, Paul [GB/GB]; 2 Rowan Close, Radlett, Hertfordshire WD7 9LD (GB).
- (74) Agents: WOODCRAFT, David, Charles et al.; Brookes Batchellor, 102-108 Clerkenwell Road, London EC1M 5SA (GB).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX,

[Continued on next page]

(54) Title: TRANSCUTANEOUS PROSTHESIS



(57) Abstract: A transcutaneous prosthesis is disclosed comprising a first component (1) shaped for implantation into a bone (2), a second component (4) intended for location between the bone (2) and the skin, and a third component (5) intended for location exterior to the skin surface, having a low surface energy which deters bacterial adhesion. The second component (4) may carry an adhesion-promoting protein.

WO 01/97718 A1



- MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

Declaration under Rule 4.17:

— of inventorship (Rule 4.17(iv)) for US only

Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

TRANSCUTANEOUS PROSTHESIS

This invention relates to transcutaneous prosthesis and includes a method of fitting a prosthesis having a transcutaneous component to a patient.

Amputation of limbs or digits can occur due to trauma or because of surgical removal. Examples of trauma include loss of fingers in machinery accidents, loss of limbs in car accidents or as a result of land mine explosions. Surgical removal can also be indicated as a result of cardio-vascular disease, diabetes and cancerous tumours to the bone or soft tissues.

After amputation, it is common to fit an external endo-prosthetic device that is attached to the body via by a skin interface. This commonly involves the manufacture of a custom-made socket which is secured to the stump using straps or clamps. A number of disadvantages arise from the use of such endo-prosthetic devices. For example:-

- (1) Skin is not a satisfactory high load bearing structure and often breaks down under load, becoming inflamed and uncomfortable and, in severe cases, pressure sores are formed which are difficult to heal.
- (2) Changes in the shape of the stump may mean that a new custom-made socket is required.
- (3) The use of sockets for receiving the stump are commonly sweaty and uncomfortable.
- (4) Where a joint is involved, the external prosthesis is usually moved by muscle groups situated at a distance from the attached prosthesis and therefore motion is inefficient and unnatural.

A major object of the present invention is to provide a prosthesis which overcomes some or all of the above disadvantages.

According to one aspect of the present invention there is provided a transcutaneous prosthesis which comprises a first component shaped for implantation into a bone, a second component intended for location between the bone and the skin, the second component having a surface treatment for stimulation of fibroblastic cell

2

proliferation and attachment of epithelial cells and a third component intended for location exterior to the skin surface having a low surface energy which deters bacterial adhesion.

The prosthesis provided by the present invention is thus an intra-osseous transcutaneous prosthesis (ITAP) and has a number of advantages. For example, the first component is attached directly to load-bearing parts of the bony skeleton such that load is transmitted through bone. This means that the patient is able to apply much more power to the prosthesis. Also, motion and perception of movement is more natural because of the bone attachment. Moreover, because the skin takes no part in transmitting the load from the bone to the external part of the prosthesis, there is no pressure on the skin surface which would cause inflammation or discomfort.

The first component is formed with some suitable means for preventing rotation of the component in the bone which may comprise flutes or grooves or functionally similar shaped surfaces. These surfaces may be shaped to fit the profile of the intramedullary cavity, where present. Also, the first component is preferably provided with a surface treatment which encourages osseous integration. Suitable surface treatments include hydroxyapatite which is a hydrated calcium phosphate. The surface may also be formed with small apertures or pits to encourage integration between the bone and the first component. Where micro pits are formed in the surface, these may be of the order of 20 to 500 microns in size, preferably 20 to 100 microns.

This component is provided with a surface treatment for stimulating fibrous tissue ingrowth. Again, this component may be treated with an hydroxyapatite or aluminium oxide coating and the coating treated with materials which encourage the adhesion of epithelial cells to the second component. This component may also have a coating which is porous to encourage soft tissue ingrowth. Materials which encourage such growth include adhesion promoting proteins such as fibronectin or laminin. In order to aid adhesion of the fibrous tissue to the second component, the hypodermis is

3

preferably surgically removed during the procedure of installing the prosthesis. The goal is to attach the skin to the implant to prevent movement of the skin and shear forces separating epithelial cells at the interface and underlying dermis and thereby permitting infection to enter between the skin and the prosthesis.

The third component comprises the exterior part of the prosthesis and this has a low surface energy which deters bacterial adhesion. A low surface energy can be achieved by coating this part of the prosthesis with a non-stick material such as a diamond-like carbon, a fluorinated polymer or a silicone polymer.

The prosthesis may be made up from separate components connected together, or two or more of the components may be formed integrally and given appropriate surface treatments.

The external component will preferably include a safety device comprising a linkage which breaks under an unusual load such as, for example, one caused by the patient falling. This will allow the external component to detach from the skeletal and transcutaneous component without causing damage to the bone or to the skin. An additional feature which will protect the fixation of an intramedullary post is an external device which limits torque transmitted to the adjustable fixation. The torque transmitted may be adjustable so that with time, the transmitted torque can be increased, as the internal component integrates with the bone.

In a further preferred embodiment of the present invention the second component may be provided so as to extend outwardly from the first and third components in a manner that increases the external surface area of the second component. The second component may also be provided with through holes which further increase the external surface area and allow growth of tissue through the second component. This has been found to advantageously facilitate the integration of the component with fibrous tissue growth.

The invention is illustrated by the accompanying drawings in which:-

Figures 1 and 2 are diagrammatic views through part of a deer's antler and skull;

4

Figure 3 is a diagrammatic part section showing a transcutaneous prosthesis in accordance with the invention, fitted to a patient.

Figure 4 is a perspective view of a preferred embodiment of a prosthesis in accordance with the present invention drawn on a larger scale compared with Figure 3.

Referring to the drawings, the present invention was in part stimulated by study of the skin-bone interface around red deer antlers. This is a unique structure and may be thought of as a biological model for a transcutaneous implant. The deer antler at periods of the year is very heavily loaded during the rut. Histological examination indicates that the layer of skin epithelial cells become thinner as the epithelial layer approaches the antler, such that at the antler-skin interface an epithelial skin layer is only about one cell thick. The dermis is intimately attached to the bone (pedicle) interface. The attachment is achieved through a series of "Sharpeys fibres" which attach to the dermis and to the bone and prevent differential skin movement. Antlers do not normally become infected and the bone structure is invaginated with small pores measuring 18 to 40 microns in diameter. This helps the interface between the dermis and the bone to resist shear stresses. These features are shown in Figures 1 and 2 of the accompanying drawings.

The prosthesis of the present invention is shown in Figure 3 and may be considered an artificial analogue of the deer's antler. The prosthesis comprises a first component (1) which is inserted into the intramedullary canal of a bone (2). Component (1) is formed with longitudinally extending cutting flutes which engage in the bone as the prosthesis is inserted into the intramedullary canal and resists rotation. The surface of the component (1) may be coated with a material to encourage osseous integration such as a hydroxy apatite material and/or be micro-pitted. The second component (4) extends from the end of the bone to the surface of the skin. This component may be cylindrical as drawn, or could be flattened to a mushroom shape, thereby increasing the surface area over which the soft tissue can be attached. Component (4) is given a surface treatment to encourage attachment of the epithelial to the implant. Such surface treatments include giving the surface a micro-pitted

structure and/or coating the surface with adhesion proteins such as laminin or fibronectin which encourage fibrous growth into the surface of the component (4) of the prosthesis.

Prior to installing the prosthesis, the hypodermis is preferably surgically removed. Further, a surface is provided on the second component which is porous and promotes fibrous tissue ingrowth. Suitable materials for coating the surface include alumina oxide ceramics and hydroxy apatite. This surface, preferably after being given a porous surface treatment, is coated with an adhesion promoting protein, e.g. by spraying the prosthesis with a solution of the adhesion-promoting protein, by dipping the prosthesis in a concentrated solution of the protein and freeze drying, or by dipping into a sterile solution of the adhesion-promoting protein prior to implantation.

The removal of the hypodermis surgically during the amputation and installation procedure assists in stimulating attachment of the skin to the implant and thereby prevents shear forces on the skin separating the epithelial cells at the interface.

The third component (5) of the prosthesis extends through the skin and is given a non-stick surface on its exterior portion. Suitable materials include fluorinated polymers such as polytetrafluoroethylene, siliconised polymers and diamond like The presence of a non-stick surface discourages bacteria from attaching to carbon. the prosthesis and helps to prevent infection. The non-stick surface may be applied to the exterior portion of the third component (5) using the technique of chemical vapour deposition (CVD). The use of CVD is well known in the art for applying a surface of diamond-like carbon. When applying a surface layer of diamond, as disclosed in EP-B-0545 542 the method generally involves providing a mixture of hydrogen or oxygen gas and a suitable gaseous carbon compound such as a hydrocarbon, applying energy to that gas to dissociate the hydrogen into atomic hydrogen or the oxygen into atomic oxygen and the carbon into active carbon ions, atoms or CH radicals and allowing such active species to deposit on the substrate to form diamond. The energy to cause dissociation may be provided in a number of ways common to the art, for example by hot filament or by microwave source. A non-stick surface of fluorinated polymer or

silicone polymer may be applied to the third component by polymerising a monomer or

6

prepolymer in contact with the component.

It may be convenient to apply the low energy surface treatment to the third component while masking the remaining components of the prosthesis. Also, the second component of the prosthesis may be treated with the adhesion-promoting protein after applying the low energy surface to the third component, and it may be desirable to mask the third component while applying the adhesion-promoting protein.

The third component may be connected to an artificial limb or digit. For example, in the case of a replacement finger or part finger, the first component may be implanted into the remaining bone with the second component instituting the transcutaneous portion, and the third component extending beyond the severed stump. An artificial digit or part digit can then be attached to the third component.

The prosthesis may be implanted either in a one-stage procedure or in a twostage procedure where the first component is implanted into the bone and allowed to integrate before the transcutaneous part is attached.

There is shown in figure 4 a further preferred embodiment of the present invention wherein the second component (4) is extended in an outward direction perpendicular to the first and third components in a plate like form. This feature provides the second component (4) with a large surface area which advantageously facilitates the integration of the second component (4) with fibrous tissue growth. As also shown in Figure 4, through holes (6) may be provided in the plate like extension of the second component (4), which further increase the external surface area and also allowing tissue to grow through the second component further facilitating integration. Although the above description refers to a series of components, it will be appreciated that each component may be a portion of an integral element manufactured from a single piece of material. It is, however, preferred that a frangible linkage is provided between the third and second components or between the second and first component, so that in the event that a high load is applied to the third component, or to a member attached thereto, the linkage will fair so as to protect the implanted bone from injury.

7

While the present invention has been described with particular reference to the provision of a prosthesis for replacement of lost digits or limbs, the invention is also applicable to other prosthesis which extend through the skin, e.g. dental implants.

CLAIMS:-

- 1. A transcutaneous prosthesis which comprises a first component shaped for implantation into a bone, a second component intended for location between the bone and the skin, the second component having a surface treatment for stimulation of fibroblastic cell proliferation and attachment of epithelial cells and a third component intended for location exterior to the skin surface having a low surface energy which deters bacterial adhesion.
- 2. A prosthesis according to claim 1 wherein the components are integrally formed and have different surface treatments.
- 3. A prosthesis according to claim 1 or 2 wherein the first component has a surface treatment which stimulates bone growth and osseous integration.
- 4. A prosthesis according to any one of the preceding claims wherein the second component has a micro-pitted surface.
- 5. A prosthesis according to any one of the preceding claims wherein the surface of the second component carries a fibronectin or laminin coating.
- 6. A prosthesis according to any one of the preceding claims wherein the second component extends outwardly from the first and third components.
- 7. A prosthesis according to claim 6 wherein the second component has through holes.
- 8. A prosthesis according to any one of the preceding claims where the third component carries a coating comprising a fluoro- or silicone polymer.
- 9. A prosthesis according to any one of the preceding claims wherein the third component carries a coating comprising diamond like carbon.
- 10. A prosthesis according to any one of the preceding claims wherein the third component includes a frangible or detachable linkage which permits an external component to detach in the event that an unusually high load is applied to the prosthesis.
- 11. A prosthesis according to any one of the preceding claims in which the third component is connected to an artificial limb or digit.



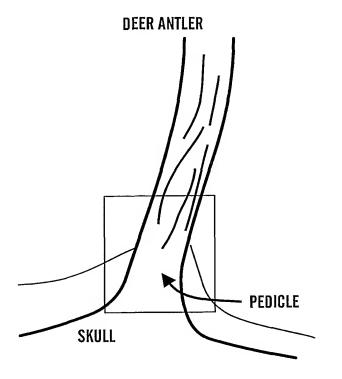
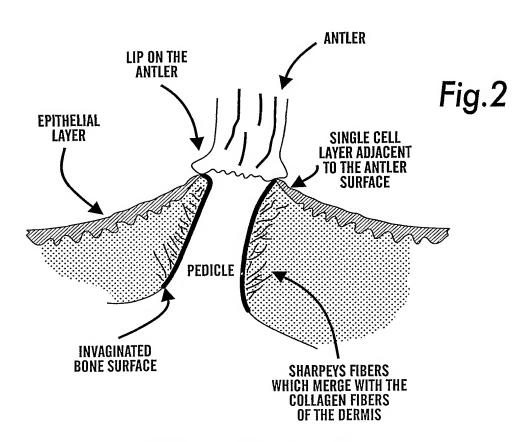


Fig. 1



SUBSTITUTE SHEET (RULE 26)

WO 01/97718

PCT/GB01/02771

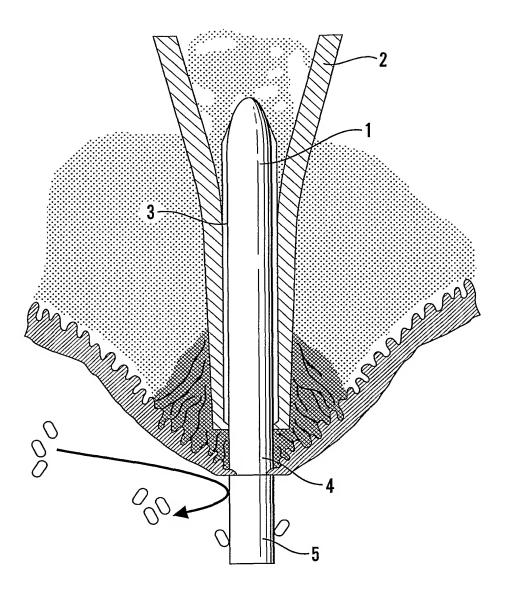


Fig.3

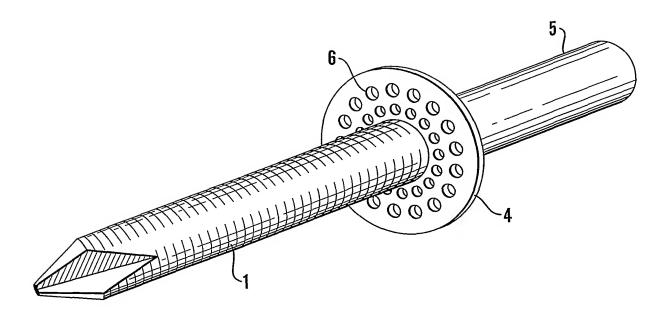


Fig.4

INTERNATIONAL SEARCH REPORT

ii itional Application No PUI/GB 01/02771

A. CLASSIFICATION OF SUBJECT MATTER IPC 7 A61F2/28 A61L A61L27/28 A61F2/78 A61C8/00 According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) IPC 7 A61F Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) EPO-Internal C. DOCUMENTS CONSIDERED TO BE RELEVANT Relevant to claim No. Category ° Citation of document, with indication, where appropriate, of the relevant passages γ DE 198 26 638 A (ESKA IMPLANTS) 1-3,1130 December 1999 (1999-12-30) the whole document US 4 143 426 A (HALL) 1-3,11Υ 13 March 1979 (1979-03-13) column 5, line 50 -column 6, line 16; Α figures Α DE 34 39 993 A (FRIEDRICHSFELD GMBH 1,11 KERAMIK- UND KUNSTSTOFFWERKE) 28 May 1986 (1986-05-28) page 3, line 24 -page 4, line 15 Α WO 97 46179 A (MATHYS MEDIZINALTECHNIK) Δ 11 December 1997 (1997-12-11) claims 9-13 -/--Further documents are listed in the continuation of box C. Patent family members are listed in annex. ° Special categories of cited documents: "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "A" document defining the general state of the art which is not considered to be of particular relevance earlier document but published on or after the international "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such docu-ments, such combination being obvious to a person skilled *O* document referring to an oral disclosure, use, exhibition or other means document published prior to the international filing date but later than the priority date claimed *&* document member of the same patent family Date of the actual completion of the international search Date of mailing of the international search report 14/11/2001 6 November 2001 Name and mailing address of the ISA Authorized officer European Patent Office, P.B. 5818 Patentlaan 2 NL – 2280 HV Rijswijk Tel. (+31–70) 340–2040, Tx. 31 651 epo nl, Fax: (+31–70) 340–3016 Klein, C

INTERNATIONAL SEARCH REPORT

I Itional Application No

C.(Continua	ation) DOCUMENTS CONSIDERED TO BE RELEVANT			
Category °	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.		
A	EP 0 806 212 A (MATRIX MEDICAL) 12 November 1997 (1997-11-12) abstract; claim 2	5		
А	US 4 158 895 A (RESWICK) 26 June 1979 (1979-06-26) the whole document	6,7,11		
А	US 5 981 827 A (DEVLIN) 9 November 1999 (1999-11-09) the whole document	9		
A	US 5 725 573 A (DEARNALEY) 10 March 1998 (1998-03-10) the whole document	9		
Α	EP 0 547 354 A (PORSCHE) 23 June 1993 (1993-06-23) abstract; claims 1,2	10		
Α	US 3 947 897 A (OWENS) 6 April 1976 (1976-04-06)			
A	DE 94 00 720 U (SCHREIBER) 18 May 1995 (1995-05-18)			
Α	EP 0 545 542 A (DE BEERS INDUSTRIAL DIAMOND DIVISION) 9 June 1993 (1993-06-09) cited in the application			

INTERNATIONAL SEARCH REPORT

ii ational Application No

					1017 42	01/02//1
	atent document d in search report		Publication date		Patent family member(s)	Publication date
DE	19826638	Α	30-12-1999	DE WO EP	19826638 A1 9965426 A1 1087733 A1	30-12-1999 23-12-1999 04-04-2001
US	4143426	Α	13-03-1979	NONE		
DE	3439993	Α	28-05-1986	DE	3439993 A1	28-05-1986
WO	9746179	А	11-12-1997	WO AU AU EP NZ	9746179 A1 708593 B2 5757796 A 0910315 A1 333011 A	11-12-1997 05-08-1999 05-01-1998 28-04-1999 30-08-1999
EP	806212	А	12-11-1997	EP CA CA EP US US US	0806212 A1 2205104 A1 2205107 A1 0806211 A1 6136369 A 6146686 A 6069295 A 6143948 A	12-11-1997 10-11-1997 10-11-1997 12-11-1997 24-10-2000 14-11-2000 30-05-2000 07-11-2000
US	4158895	Α	26-06-1979	NONE		
US	5981827	Α	09-11-1999	NONE		
US	5725573	A	10-03-1998	US US US EP JP WO AU EP JP WO US	5605714 A 5593719 A 6087025 A 0833672 A1 11506807 T 9640308 A1 2191995 A 0755231 A1 9510893 T 9526169 A1 6171343 B1	25-02-1997 14-01-1997 11-07-2000 08-04-1998 15-06-1999 19-12-1996 17-10-1999 29-01-1997 04-11-1997 05-10-1998
EP	547354	A	23-06-1993	DE EP JP US	4141527 A1 0547354 A1 5285165 A 5336268 A	24-06-1993 23-06-1993 02-11-1993 09-08-1994
US	3947897	Α	06-04-1976	NONE		
DE	9400720	U	18-05-1995	DE	9400720 U1	18-05-1999
EP	545542	A	09-06-1993	DE DE EP JP US ZA	69205550 D1 69205550 T2 0545542 A1 5339733 A 5318809 A 9208331 A	23-11-1995 04-04-1996 09-06-1993 21-12-1993 07-06-1994 04-05-1993